

Electronic Acknowledgement Receipt

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Application Number:	10820876
International Application Number:	
Confirmation Number:	9271
Title of Invention:	System and method for integrating a convertible security with a call spread
First Named Inventor/Applicant Name:	Daniel Breen
Customer Number:	75127
Filer:	George T. Marcou/Terry Evans
Filer Authorized By:	George T. Marcou.
Attorney Docket Number:	SSB0005
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /zip	Pages (if appl.)
1	Amendment - After Non-Final Rejection	SSB0005_NFOA_964783_2.pdf	160432 4e2909c60986ec2521d104e2e7ed51eb e4a505a68	no	12

Warnings:

Information:

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.